

## BEFORE THE COMMISSIONER OF SECURITIES STATE OF MISSOURI

IN THE MATTER OF:		)	
JASON M. GUILLEN,		)	CASE NO. AP-07-1
	Respondent.	)	

## AMENDMENT TO FINAL ORDER

Now on the 21st day of November, 2007, the Commissioner of Securities Matt Kitzi, following a hearing on Respondent Jason M. Guillen's request for an amendment to the final order in this matter, issues the following order:

- Paragraph D under the "ORDER" section of the Final Order to Cease and Desist and Order Imposing Civil Penalties and Restitution, issued in this matter on April 13, 2007, is hereby amended and shall hereafter read, in its entirety, as follows:
  - D. As agreed upon and consented to by Respondent in the Motion, Respondent shall pay restitution for the benefit of the aggrieved Missouri investors listed in Exhibit Respondent shall pay the total sum of twenty thousand dollars 1 hereto. (\$20,000) to the Missouri Investor Restitution Fund, four thousand dollars (\$4,000) of which has already been paid and acknowledged, pursuant to the following payment schedule: (1) a monthly installment of one thousand dollars (\$1,000) shall be due and paid on the first day of each month from February 1, 2008, through April 1, 2008; (2) a monthly installment of two thousand one hundred twenty five dollars (\$2,125) shall be due and paid on the first day of each month from May 1, 2008, through October 1, 2008; and (3) an installment of two hundred fifty dollars (\$250) shall be due and paid on November 1, 2008. Each of Respondent's monthly payments shall be sent to the Missouri Secretary of State and made payable to the Missouri Investor Restitution Fund. Respondent shall deliver each payment to the Securities Division, 600 West Main Street, PO Box 1276, Jefferson City, Missouri, 65102. The Secretary of State shall pay these

funds to the aggrieved Missouri investors listed in Exhibit 1 hereto. If Respondent fails to make any payment described in this paragraph D, the full amount remaining and owed to the Missouri Investor Restitution Fund shall be immediately due and payable after five days notice to cure, and if any amount remains unpaid after such five-day cure period, the Commissioner may refer this matter for enforcement as provided in Sections 409.6-603 and 409.6-604, RSMo. (Cum. Supp. 2006).

- Paragraph E under the "ORDER" section of the Final Order to Cease and Desist and Order Imposing Civil Penalties and Restitution, issued in this matter on April 13, 2007, is hereby amended and shall hereafter read, in its entirety, as follows:
  - Respondent's obligation to make the civil penalty and costs payments described E. in Paragraphs B and C, above, is hereby suspended provided and on the condition that Respondent complies in full with each part of this Order including, without limitation, the ordered restitution payment obligations described in Paragraph D, above. Upon full satisfaction of the obligations in Paragraph D, above, a portion of the civil penalty imposed against Respondent in Paragraph B, above, twenty seven thousand dollars (\$27,000), shall be waived. In addition, upon full satisfaction of the obligation in Paragraph D, above, a portion of the costs payment imposed against Respondent in Paragraph C, above, three thousand dollars (\$3,000), shall be waived. In addition to payments constituting full satisfaction of Paragraph D, Respondent shall pay three thousand dollars (\$3,000) as his full and final civil penalty under and as directed in Paragraph B, above, and one thousand dollars (\$1,000), as his full and final costs payment under and as directed in Paragraph C, above. To pay the non-waived portions of Paragraph B, Respondent shall pay one thousand eight hundred seventy five dollars (\$1,875) on November 1, 2008, and one thousand one hundred twenty five dollars (\$1,125) on December 1, 2008, in accord with the specific payment instructions of Paragraph To pay the non-waived portions of Paragraph C, Respondent shall pay one thousand dollars (\$1,000) on December 1, 2008, in accord with the specific payment instructions of Paragraph C. In the event that Respondent fails to fully comply with any part of this Order including, without limitation, the restitution payment obligations described in Paragraph D, above, the suspended civil penalty payments described in Paragraph B, above, and the suspended costs payment described in Paragraph C, above, shall be immediately due and payable, under operation of law, and such immediately due and owed civil penalty and costs payments shall be in addition to all other payments then due under this Order and in addition to all other penalties thus available under the law.
- Only Paragraphs D and E under the "ORDER" section of the Final Order to Cease and Desist and Order Imposing Civil Penalties and Restitution are affected by this Amendment, and all other provisions of such Order shall remain as stated therein.

## SO ORDERED.

ROBIN CARNAHAN SECRETARY OF STATE

Matthew D. Kitzi

Commissioner of Securities

## **CERTIFICATE OF SERVICE**

I hereby certify that on this <u>21</u> day of November, 2007, a copy of the foregoing AMENDMENT TO FINAL ORDER, issued in the above-styled case, was mailed by U.S. Mail to:

Jason M. Guillen 3704 W. Kingsley Springfield, Missouri 65807

and by hand-delivery to:

Mary S. Hosmer Assistant Commissioner Enforcement Section, Securities Division

John Hale, Specialist