



STATE OF MISSOURI
OFFICE OF THE SECRETARY OF STATE

IN THE MATTER OF:)
)
MOORE FINANCIAL) Case No. AP-12-12
MANAGEMENT, and DANNY)
MOORE,)
)
Respondents.)

ORDER OF DISMISSAL

Now on the 14th day of March, 2013, the Commissioner, having reviewed this matter, issues the following findings and order:

FINDINGS AND ORDER

1. On March 15, 2012, Kristine Kaufflin, Chief Enforcement Counsel for the Missouri Securities Division, and Corinne Muller, Deputy Enforcement Counsel for the Missouri Securities Division, on behalf of the Enforcement Section, submitted a Petition for Order to Cease and Desist and Order to Show Cause Why Restitution, Civil Penalties and Costs Should Not Be Imposed (the "Petition") to the Commissioner.
2. On March 20, 2012, the Commissioner issued an Order to Cease and Desist and Order to Show Cause Why Restitution, Civil Penalties and Costs Should Not Be Imposed.
3. On April 26, 2012, Respondents Danny Moore and Moore Financial Management, through their attorney Roger Walter, submitted an Answer and Request for Hearing.
4. On May 3, 2012, the Commissioner set a hearing in this matter for July 19, 2012.
5. On July 12, 2012, Mr. Walter submitted a Motion for Continuance of Hearing. In that motion, Respondents indicated that due dates for certain discovery had been extended, and that the Enforcement Section did not object to a continuance.
6. On July 16, 2012, the Commissioner continued the hearing in this matter to October 4, 2012.

7. On October 2, 2012, Ms. Kauflin submitted Petitioner's Motion for Continuance and stated that on July 20, 2012, the Enforcement Section was informed, by Mr. Walter, that Respondent Danny Moore was deceased. Further, Ms. Kauflin stated that the parties in discussions as to how to proceed.
8. On October 3, 2012, the Commissioner continued the hearing in this matter to December 14, 2012.
9. On December 7, 2012, Ms. Kauflin submitted Petitioner's Motion for Continuance and stated that due to the death of Respondent Moore and related issues, the parties to this matter continue discussions as to how to proceed.
10. Also on December 7, 2012, the Commissioner continued the hearing in this matter to March 7, 2013.
11. On March 6, 2013, Ms. Kauflin submitted Petitioner's Motion for Continuance of Hearing and Request for Pre-Hearing Conference.
12. In the Motion, Petitioner indicated that the parties had continued to discuss how to proceed in light of Mr. Moore's death. Petitioner also indicated that Moore Financial Management would be dissolving.
13. Petitioner's Motion indicated that Mr. Walter does not object to the Motion.
14. On March 6, a pre-hearing conference was scheduled for March 18, 2013, and the hearing was continued to April 30, 2013.
15. On March 11, Roger Walter filed a Notice of Withdrawal of Counsel.
16. In the Notice of Withdrawal, Mr. Walter asserts that Respondent Moore Financial Management is "defunct" with no assets, revenue, income, place of business, or even a phone number.
17. In the Notice of Withdrawal, Mr. Walter also alleges that Mr. Moore's son has no ownership interest in Moore Financial Management and no authority to act on its behalf.
18. In view of the death of Mr. Moore, who is a Respondent and the sole owner of the other Respondent, and in view of Respondent Moore Financial Management's lack of assets, revenue, and income, this case is hereby **DISMISSED**.

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SO ORDERED.



JASON KANDER
SECRETARY OF STATE

Andrew M. Hartnett

Andrew M. Hartnett
Commissioner of Securities

CERTIFICATE OF SERVICE

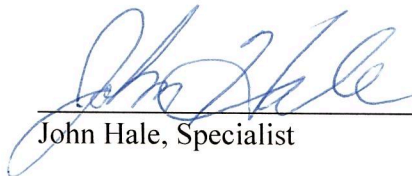
I hereby certify that on this 14th day of March, 2013, a courtesy copy of the foregoing Order of Dismissal, issued in the above-styled case, **was mailed by U.S. Mail to:**

Roger M. Walter
Morris, Laing Evans, Brock & Kennedy, CHTD
800 SW Jackson, Suite 1310
Topeka, Kansas 66612-1216

and a copy by hand-delivery to:

Corinne Muller
Deputy Enforcement Counsel
Missouri Securities Division

Kristine Kauflin
Chief Enforcement Counsel
Missouri Securities Division



John Hale, Specialist